

ADULT PRISONS & JAILS

NATIONAL
PREA
RESOURCE
CENTER



BJA
Bureau of Justice Assistance
U.S. Department of Justice

Auditor Information			
Auditor name: Michael Guzman			
Address: P.O. Box 1899, Airway Heights Corrections Center, Airway Heights, WA 99001			
Email: mwguzman@doc1.wa.gov			
Telephone number: 509-244-6744			
Date of facility visit: June 2-5, 2015			
Facility Information			
Facility name: Southern New Mexico Correctional Facility (SNMCF)			
Facility physical address: 1983 Joe Silva Blvd; Las Cruces, New Mexico 88005			
Facility mailing address: (if different from above) PO Box 639; Las Cruces, New Mexico 88005			
Facility telephone number: 575-523-3200			
The facility is:	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
Facility type:	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
Name of facility's Chief Executive Officer: James Mulheron, Warden			
Number of staff assigned to the facility in the last 12 months: 300 average			
Designed facility capacity: 768			
Current population of facility: 712			
Facility security levels/inmate custody levels: 2, 3, 4, and 6			
Age range of the population: 19-72			
Name of PREA Compliance Manager: Willie Flores		Title: Captain	
Email address: willie.flores@state.nm.us		Telephone number: 575-523-3269	
Agency Information			
Name of agency: New Mexico Department of Corrections			
Governing authority or parent agency: (if applicable)			
Physical address: 4337 NM 14, Santa Fe, New Mexico 87508			
Mailing address: (if different from above) P.O. Box 27116, Santa Fe, NM 87502-0116			
Telephone number: 505-827-8645			
Agency Chief Executive Officer			
Name: Greg Marcantel		Title: Secretary of Corrections	
Email address: greg.marcantel@state.nm.us		Telephone number: 505-827-8884	
Agency-Wide PREA Coordinator			
Name: Jillian Shane		Title: PREA Coordinator	
Email address: jillian.shane@state.nm.us		Telephone number: 575-523-3303	

AUDIT FINDINGS

NARRATIVE:

Prior to the audit interviews were conducted with the Acting Deputy Secretary of Administration, the Statewide PREA Coordinator and the head of investigators for the Department of Professional Standards, which is responsible for PREA investigations. These interviews indicated the Department is committed to complying with the PREA Federal Standards.

The PREA Audit of the Southern New Mexico Correctional Facility (SNMCF) was conducted on June 02-05, 2015. (When referring to "the facility", unless otherwise noted, this term is intended to reference Southern New Mexico Correctional Facility). The Designated Auditor, Michael Guzman, was assisted by Michael Klemke, both being Certified DOJ PREA Auditors.

The audit team wishes to extend its appreciation to Warden James Mulheron, Deputy Warden Joe Lytle, Deputy Warden Kathleen Hodges and their staff for the professionalism, hospitality, and kindness they showed the audit team.

The audit team also wishes to compliment the New Mexico DOC PREA Coordinator, Jillian Shane for her outstanding work in organizing and assisting us with the requested information prior to and during the audit. This enabled the audit to move forward very efficiently. Warden Mulheron and Willie Flores, Facility PREA Compliance Manager, both did an outstanding job providing detailed information to the audit team prior to our arrival as well as during the on sight tour. They were highly organized and had a clear understanding of the requirements of each standard.

The Entrance Meeting was held with the Facility Executive staff and several members of the New Mexico Youth Corrections Staff. Expectations and the audit process was explained in detail. The DOJ auditors fielded qualifying questions and provided insight as to what we were looking for during the facility tour.

Following the Entrance Meeting, the audit team was given a very thorough tour of the Southern New Mexico Correctional Facility to include the living units, program areas, visiting, Correctional Industries, kitchen, warehouse, intake area, training department and the tower. After the tour, the audit team began interviewing staff, reviewing files and observing processes.

At least one offender from each housing unit was interviewed. Those interviewed were randomly selected, by the auditors, from a list of all the inmates in the facility. In addition, inmates who were identified as being in a designated group (i.e. disabled, limited English speaking ability, LGBTI, or

who had reported a sexual abuse, etc.) were also interviewed. Additionally, the auditors interviewed offenders that provided written correspondance prior to the audit being facilitated. The interviews indicate the inmates know what PREA is and how to report concerns.

At least 10, randomly selected, correctional officers and other identified specialized staff were interviewed, including the Warden, PREA Compliance Manager, first responders, volunteers, health care providers, mental health professionals, HR, intake staff and the SANE coordinator for New Mexico Coalition of Sexual Assault Programs Inc.

The audit team was impressed by how knowledgeable the correctional officers and other staff were about PREA, offender rights regarding PREA, first response, and evidence collection. The vast majority of staff clearly understood PREA and the agency's commitment to it. Warden Mulheron is committed to implementing and ensuring compliance with the PREA standards within his facility and it was very apparent to the audit team. When the auditors made recommendations for mirrors to be placed in areas to eleviate blind spots, the Warden ensured they were in place within a couple of hours.

Health care and mental health staff that provide services to the inmates at SNMCF are very professional and knowledgeable with regard to their responsibilities when responding to PREA allegations and the protocols for follow up treatment.

When the on-site audit was completed, the audit team conducted an exit meeting with the facility executive team. The auditors gave a brief overview of the audit results and thanked the Southern New Mexico Correctional Facility staff for their hard work and commitment to the Prison Rape Elimination Act. The audit team also made some recommendations to the PREA Compliance Manager and the Warden and offered any assistance needed in making improvements or changes.

It is apparent to the auditors that the facility staff are dedicated and committed to meeting the Federal PREA Standards, but also to the overall safety and security of the inmates, staff and visitors.

DESCRIPTION OF FACILITY CHARACTERISTICS:

Southern New Mexico Correctional Facility (Complex) is a correctional facility that comprises of various custody levels ranging from Level II (Minimum Restrictions) to Interim Level VI (Maximum Security). Within the multitude

of custody levels SNMCF houses members of various certified Security Threat Groups, Disruptive groups and street gang members. All inmates that have been identified by the NMCD that are in good standings with their criminal organizations are sent to SNMCF.

SNMCF mission is first and foremost the safety of the public by helping the offender's transition away from the gang lifestyle, and helping them regain positive relationships with families and give them lasting training (programs) that will help them support their families and themselves, thus resulting in a reduction in recidivism.

Joe Silva Unit (JSU)

HU-1A, Interim Level VI

HU-1B, Interim Level VI (Restrictive Housing)

HU-2A, One pod Interim Level VI (Restrictive Housing), One pod IRPP, One pod Level III

HU-2B, 3A, Level III general population

HU-3B, Level III general population

HU-4A, 4B, Level IV general Population

HU-5A, Two pods Level IV,

HU-5B, Level IV

Paul Oliver Unit (POU)

Level II General Population

SNMCF

Rated Capacity of Facility: 768

Actual Population as of June 04, 2015: 712

Average daily population for the last 12 months: 335 – 340

Average length of stay: N/A

Security/Custody level of facility: II,III, IV, and VI

Age range of offenders: 19 – 72

Gender: Male

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded: 2

Number of standards met: 39

Number of standards not met: 0

Number of standards not applicable: 2

Total: 43

**Standard 115.11 - Zero tolerance of sexual abuse and sexual harassment;
PREA Coordinator**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-150102, memo from the Acting Deputy Secretary of Administration, memo from the Acting Warden and interviews with the Agency PREA Coordinator, facility investigator, and interview with investigator from Office of Professional Standards, NMCD/SNMCF Organizational Charts, SNMCF is compliant with all components of Standard 115.11.

Standard 115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Southern New Mexico Correctional Facility (SNMCF) does not have any contracts for the confinement of its inmates with private agencies or other entities, including other government agencies, therefore Standard 115.12 is non-applicable.

Standard 115.13 - Supervision and monitoring

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150101, CD-130301, minutes from PREA Staffing Pattern/Facility Compliance meeting dated 04/15/15, Staffing Plan, review of log books and interviews with staff, SNMCF is compliant with all components of Standard 115.13.

Standard 115.14 - Youthful inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

SNMCF does not house youthful inmates and therefore, Standard 115.14 is non-applicable.

Standard 115.15 - Limits to cross-gender viewing and searches

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-130300, training lesson plan for Search Procedures, PREA powerpoint used for staff training, Staff training attendance forms, interviews with staff and observations when doing the tour, SNMCF is compliant with all components of Standard 115.15.

Standard 115.16 - Inmates with disabilities and inmates who are limited English proficient

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy 150.100, PREA Orientation Video, PREA Pamphlet, SNMCF Inmate Handbook, postings throughout the institution on PREA reporting, Vendor Contracts with Interpreter Services, List of certified staff interpreters, interviews with inmates and utilization of an interpreter during and inmate interview, SNMCF is compliant with all components of standard 115.16.

***Note* SNMCF exceeds this standard. There are postings for inmates to read in both English and Spanish in every building that the public and inmates have access to that explain what PREA is, how to report concerns and the investigation process. The inmate orientation provides a PREA video, PREA Pamphlet, and an inmate handbook that also has PREA information. Interviews with the inmates indicate they are not only receiving the information, but they are also understanding it.**

Standard 115.17 - Hiring and promotion decisions

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-037400, background check requests, release of information forms, New Mexico Administrative Code 1.7.1.12, and interview with human resource staff, SNMCF is compliant with all components of Standard 115.17.

Standard 115.18 - Upgrades to facilities and technologies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Memo from Facility PREA Compliance Manager, SNMCF has not had any updates to their facility in the past 12 months. Conversation with the Warden indicated that they may be getting some new cameras and if they do, a determination has already been made where to place them based on potential blind spots and trouble areas.

Standard 115.21 - Evidence protocol and forensic medical examinations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, Memo of Understanding with New Mexico Rape Crisis Centers, memo from the Office of Inspector General showing what topics the New Mexico State Patrol receive in their 22 week training, PREA Compliance Manager E-Mail w/ Class attendance Sheet, and interviews with an investigator from Office of Professional Standards, facility investigator, and manager of SAFE/SANE examiners, SNMCF is compliant with all components of Standard 115.21.

Standard 115.22 - Policies to ensure referrals of allegations for investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-031800, memo from the Office of Inspector General showing what topics the New Mexico State Patrol receive in their 22 week training, Investigator Training Certificates, NMCD Web Site Page, interview of Facility Training Staff, SNMCF is in compliance with all components of Standard 115.22.

Standard 115.31 - Employee training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-190000, CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, Staff Training Acknowledgement form, Pre-Service Training Schedule, In-Service Training Schedule,

interview of Facility Staff, and review of training records, SNMCF is in compliance with all componets of Standard 115.31.

Standard 115.32 - Volunteer and contractor training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Volunteers and Contractors, Training Lesson Plan: PREA for Volunteers and Contractors, PREA for Volunteers and Contractors Training Acknowledgement form, interview of Facility Contractors, and review of training records, SNMCF is in compliance with all componets of Standard 115.32.

Standard 115.33 - Inmate education

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, 2014 PREA Video, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, Inmate Orientation schedule, Inmate Orientation Acknowledgement form, PREA Posters, facility tour, and inmate interviews, SNMCF is in compliance with all componets of Standard 115.33.

Standard 115.34 - Specialized training: Investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Investigating Sexual Assaults in a correctional setting, Power Point: Investigating Sexual Assaults in a

correctional setting, Investigator training certificates/class rosters, Letter from the NM Department of Public Safety, Interview with Acting Warden and Interview with investigators, SNMCF is in compliance with all componets of Standard 115.34.

Standard 115.35 - Specialized training: Medical and mental health care

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Power Point: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Medical and Mental Health training class rosters, interview of Statewide SAFE/SANE Program Manager, SNMCF is in compliance with all componets of Standard 115.35.

Standard 115.41 - Screening for risk of victimization and abusiveness

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Inmate Assessment Test (S.R.N.S. I & II), PREA Screening Tool Users Guide, S.R.N.S. Assessment Tool: 72 hour and 30 day re-evaluation, and observation of intake process, SNMCF is in compliance with all componets of Standard 115.41.

***Note* Prior to the on-site visit to SNMCF, the PREA Facility Manager and Statewide PREA Coordinator identified inconsistencies in the timeliness of the inmate intake screenings. At that time, they initiated immediate corrective action whereas the warden had the staff perform a one-hundred percent audit and re-screening of each inmate in the facility. During the audit and a review of the screening process with classification staff, many**

inmate files were reviewed. All showed screenings that were completed, however, many were outside of the required timelines.

Since NMCD staff first identified and corrected this issue, PREA Auditors requested that a thirty day review period be initiated to ensure further compliance. The staff at SNMCF agreed to forward all intake screenings that occur with all new intakes for the review period. This will illustrate the new intake date and the 72 hour screening.

Documentation received for the next 30 days reflected 100% compliance of exceeding the standard requirements. All screenings were completed within a 48 hour window for offenders arriving to the facility.

Standard 115.42 - Use of screening information

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-143001, CD-080100, Memorandum from the facility Warden, PREA Screening Tool Users Guide, and interviews with staff, SNMCF is in compliance with all componets of Standard 115.42.

Standard 115.43 - Protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-143001, Memorandum from the Facility Warden stating that no inmates have been place in Alternate Housing involuntarily for PREA inssues, and interview with the restricted housing supervisor, SNMCF is in compliance with all componets of Standard 115.43.

Standard 115.51 - Inmate reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD Web site page, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, PREA Posters, and interviews with the inmates, SNMCF is in compliance with all componets of Standard 115.51.

Standard 115.52 - Exhaustion of administrative remedies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150500, Examples of grievance with follow up investigation and NMCD PREA Pamphlet (English/Spanish), SNMCF is in compliance with all componets of Standard 115.52.

Standard 115.53 - Inmate access to outside confidential support services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150300, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), postings throughout the facility and intevIEWS with the inmates, SNMCF is in compliance with all componets of Standard 115.53.

Standard 115.54 - Third-party reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), NMCD Web site page, PREA Posters, and PREA information sheet, SNMCF is in compliance with all componets of Standard 115.54.

Standard 115.61 - Staff and agency reporting duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, CD-150100, PREA Officer Training Materials, PREA Training Acknowledgement forms, PREA Information sheet, PREA Response Cards and interviews with staff, SNMCF is in compliance with all componets of Standard 115.61.

Standard 115.62 - Agency protection duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-143000, Training Materials from Staff PREA presentation, InmateScreening tools, Orientation Check list, SNMCF is in compliance with all componets of Standard 115.62.

Standard 115.63 - Reporting to other confinement facilities

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of CD-150100, Referrals for Investigation from the Office of Professional Standards (OPS), E-mails between facility wardens, and interview with Statewide PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.63.

Standard 115.64 - Staff first responder duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, Training Acknowledgement forms, First Responder Cards, and interview with Facility PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.64.

***Note* SNMCF exceeds this standard. Based on interviews of staff within the facility, all staff provided an excellent understanding of the requirements of being a first responder for a reported PREA abuse incident. Every staff had in their possession the facility's PREA First Responders Card that outline requirements of a first responder for a PREA incident.**

Standard 115.65 - Coordinated response

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, Training Acknowledgement forms, and interview with Facility PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.65.

Standard 115.66 - Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of pgs 108/109 of contract between the State of New Mexico and American Federation of State, County, and Municipal Employees (AFSCME Union) Council 18, interview with Statewide PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.66.

Standard 115.67 - Agency protection against retaliation

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD- 031800, Victim Retaliation Monitoring Form (Completed), interview with Facility PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.67.

Standard 115.68 - Post-allegation protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-143000, Documentation of Offenders Placed in Segregated housing and reason alternative housing was not available, Special Management Sign off sheet, interview with Facility PREA Compliance Manager and Warden, SNMCF is in compliance with all componets of Standard 115.68 (during this audit period no inmates were placed in the Restrictive Housing Unit for PREA protective custody).

Standard 115.71 - Criminal and administrative agency investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of CD-013800, CD-031801, CD-150101, Training Material: Investigating Sexual Assaults in a Correctional Setting, Training Certificates, Letter from the Department of Safety, SNMCF is in compliance with all componets of Standard 115.71.

Standard 115.72 - Evidentiary standard for administrative investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of NMCD PREA Investigator Training, Training rosters/certificates of compleation, completed investigation reports and interview with Facility investigative staff, SNMCF is in compliance with all componets of Standard 115.72.

Standard 115.73 - Reporting to inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100 , Interview with identified offenders, interview with PREA Compliance Manager, and investigative staff, and review of letter sent to an inmate with results of an investigation, SNMCF is in compliance with all componets of Standard 115.73.

Standard 115.76 - Disciplinary sanctions for staff

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-037800, CD-032200, CD-031800, Memorandum from Human Resources Manager, Interview with Human Resources Manager, and Warden, SNMCF is in compliance with all componets of Standard 115.76.

Standard 115.77 - Corrective action for contractors and volunteers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-031801, CD-060200, CD-060201, Memorandum from Local PREA Manager (Volunteers) and interview with Warden, SNMCF is in compliance with all componets of Standard 115.77.

Standard 115.78 - Disciplinary sanctions for inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-090100, CD-090101, CD-060200, CD-150100, CD-180101, Memorandum from Local PREA Manager (Disciplinary Process for Inmates), review of infraction categories and Misconduct forms for Sexual Misconduct, SNMCF is in compliance with all componets of Standard 115.78.

Standard 115.81 - Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-180200, CD-150100, Offender Assessment Test; S.R.N.S 1&2, PREA Screening Tool User Manual, and interviewed staff responsible for risk screening including classification/medical/mental health staff, SNMCF is in compliance with all componets of Standard 115.81.

Standard 115.82 - Access to emergency medical and mental health services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-180200, CD-176100, CD-150102, and interviews with medical and mental health staff, SNMCF is in compliance with all componets of Standard 115.82.

Standard 115.83 - Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, CD-170101 and CD-180100, and interview with medical/mental health staff and the PREA Coordinator, SNMCF is in compliance with all componets of Standard 115.83

Standard 115.86 - Sexual abuse incident reviews

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based review of Policy CD-150102, Administration/Investigation, review of completed PREA investigative report review and interview with Warden and PREA Compliance Manager, SNMCF is compliant with all componets of Standard 118.86.

Standard 115.87 - Data collection

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of the Survey of Sexual Violence and NMCD'S Annual Assessment of Progress in Addressing Sexual Abuse, SNMCF/NMCD is compliant with all componets of standard 115.87

Standard 115.88 - Data review for corrective action

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on interview with PREA Compliance Manager and Warden, review of current years sexual abuse data, and NMCD Web Page review, SNMCF is compliant with all componets of standard 115.88.

Standard 115.89 - Data storage, publication, and destruction

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on PREA Compliance Manager interview, review of Policy CD-150101 and Annual Assessment of NMCD progress in addressing sexual abuse, SNMCF is compliant with all componets of standard 115.89.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.


Michael W. Guzman

Auditor Signature

7/1/2015

Date