

ADULT PRISONS & JAILS

NATIONAL
PREA
RESOURCE
CENTER



BJA
Bureau of Justice Assistance
U.S. Department of Justice

Auditor Information			
Auditor name: Michael Klemke			
Address: P.O. Box 1899, Airway Heights Corrections Center, Airway Heights, WA 99001			
Email: mjklekme@doc1.wa.gov			
Telephone number: 509-244-6817			
Date of facility visit: April 28-30, 2015			
Facility Information			
Facility name: Western New Mexico Correctional Facility (WNMCF)			
Facility physical address: 2111 Lobo Canyon Road, Grants, New Mexico 87020			
Facility mailing address: (if different from above)			
Facility telephone number: 505-876-8300			
The facility is:	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
Facility type:	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
Name of facility's Chief Executive Officer: Joseph Garcia, Warden			
Number of staff assigned to the facility in the last 12 months: 215			
Designed facility capacity: 428			
Current population of facility: 357			
Facility security levels/inmate custody levels: 2, 3, and 4			
Age range of the population: 332			
Name of PREA Compliance Manager: Cody Dunning		Title: Lieutenant	
Email address: cody.dunning@state.nm.us		Telephone number: 505-876-8302	
Agency Information			
Name of agency: New Mexico Department of Corrections			
Governing authority or parent agency: (if applicable)			
Physical address: 4337 NM 14, Santa Fe, New Mexico 87508			
Mailing address: (if different from above) P.O. Box 27116, Santa Fe, NM 87502-0116			
Telephone number: 505-827-8645			
Agency Chief Executive Officer			
Name: Greg Marcantel		Title: Secretary of Corrections	
Email address: greg.marcantel@state.nm.us		Telephone number: 505-827-8884	
Agency-Wide PREA Coordinator			
Name: Jillian Shane		Title: PREA Coordinator	
Email address: jillian.shane@state.nm.us		Telephone number: 575-523-3303	

AUDIT FINDINGS

NARRATIVE:

Prior to the audit interviews were conducted with the Acting Deputy Secretary of Administration, the Statewide PREA Coordinator and the head of investigators for the Department of Professional Standards, which is responsible for PREA investigations. These interviews indicated the Department is committed to complying with the PREA Federal Standards.

The PREA Audit of the Western New Mexico Correctional Facility (WNMCF) was conducted on April 27-30, 2015. (When referring to "the facility", unless otherwise noted, this term is intended to reference Western New Mexico Correctional Facility). The Designated Auditor, Michael Klemke, was assisted by Michael Guzman, both being Certified PREA Auditors.

The audit team wishes to extend its appreciation to Warden Joseph Garcia, Deputy Warden Pete Perez and their staff for the professionalism, hospitality, and kindness they showed the audit team.

The audit team also wishes to compliment the New Mexico DOC PREA Coordinator, Jillian Shane for her outstanding work in organizing and assisting us with the requested information prior to and during the audit. This enabled the audit to move forward very efficiently. Warden Garcia and Cody Dunning, Facility PREA Compliance Manager, both did an outstanding job providing detailed information to the audit team prior to our arrival as well as during the on sight tour. They were highly organized and had a clear understanding of the requirements of each standard.

Following the Entrance Meeting, the audit team was given a very thorough tour of the Western New Mexico Correctional Facility to include the living units, program areas, visiting, Correctional Industries, kitchen, warehouse, intake area, training department and the tower. After the tour, the audit team began interviewing staff, reviewing files and observing processes.

At least one offender from each housing unit was interviewed. Those interviewed were randomly selected, by the auditors, from a list of all the inmates in the facility. In addition, inmates who were identified as being in a designated group (i.e. disabled, limited English speaking ability, LGBTI, or who had reported a sexual abuse, etc.) were also interviewed. The interviews indicate the inmates know what PREA is and how to report concerns.

At least 10, randomly selected, correctional officers and other identified specialized staff were interviewed, including the Warden, PREA Compliance

Manager, first responders, volunteers, health care providers, mental health professionals, HR, intake staff and the SANE coordinator for New Mexico Coalition of Sexual Assault Programs Inc.

The audit team was impressed by how knowledgeable the correctional officers and other staff were about PREA, offender rights regarding PREA, first response, and evidence collection. The vast majority of staff clearly understood PREA and the agency's commitment to it. Warden Garcia is committed to implementing and ensuring compliance with the PREA standards within his facility and it was very apparent to the audit team.

Health care and mental health staff that provide services to the inmates at WNMCF are very professional and knowledgeable with regard to their responsibilities when responding to PREA allegations and the protocols for follow up treatment.

When the on-site audit was completed, the audit team conducted an exit meeting with the facility executive team. The auditors gave a brief overview of the audit results and thanked the Western New Mexico Correctional Facility staff for their hard work and commitment to the Prison Rape Elimination Act. The audit team also made some recommendations to the PREA Compliance Manager and the Warden and offered any assistance needed in making improvements or changes.

It is apparent to the auditors that the facility staff are dedicated and committed to meeting the Federal PREA Standards, but also to the overall safety and security of the inmates, staff and visitors.

DESCRIPTION OF FACILITY CHARACTERISTICS:

In 1982 funding was appropriated for Western New Mexico Correctional Facility (WNMCF) to be the Reception and Diagnostic Center and the Women's Center for the State of New Mexico. In 1984 WNMCF opened in October at a construction cost of \$19 million. The inmate capacity was 232 with 144 beds for RDC and 88 beds for the female inmates. A total of 175 staff positions were funded. In 1985, a 60 bed female minimum unit was opened which became known as M-Unit and an additional 17 positions were funded. The total capacity was 292. In 1989 an additional 64 bed female medium unit opened at a construction cost of \$1 million. An additional 10 positions were funded. Construction of a Chapel was approved costing \$160,000. The total capacity was increased to 340. In 1989 the female population was moved out to the New Mexico Correctional Center for Women privately operated by CCA. WNMCF was immediately filled with an adult

male inmate population. On June 14, 1999, RDC was transferred to Central New Mexico Correctional Facility in Los Lunas, New Mexico. On July 1, 1999, WNMCF became a permanent male custody facility. An additional 88 beds were added for a capacity of 428. As of 2011, the facility's total capacity is 440 due to 12 additional beds added to Housing Unit 8 to alleviate overcrowding at other facilities.

Rated Capacity of Facility: 440

Actual Population as of April 28, 2015: 356

Average daily population for the last 12 months: 335 – 340

Average length of stay: 5 years

Security/Custody level of facility: II,III and IV

Age range of offenders: 19 – 72

Gender: Male

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded: 3

Number of standards met: 38

Number of standards not met: 0

Number of standards not applicable: 2

Total: 43

**Standard 115.11 - Zero tolerance of sexual abuse and sexual harassment;
PREA Coordinator**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-150102, memo from the Acting Deputy Secretary of Administration, memo from the Deputy Warden and interviews with the Agency PREA Coordinator, facility investigator, and interview with investigator from Office of Professional Standards, WNMCF is compliant with all components of Standard 115.11.

Standard 115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Western New Mexico Correctional Facility (WNMCF) does not have any contracts for the confinement of its inmates with private agencies or other entities, including other government agencies, therefore Standard 115.12 is non-applicable.

Standard 115.13 - Supervision and monitoring

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-130310, minutes from PREA Staffing Pattern/Facility Compliance meeting dated 02/26/15, Staffing Plan, review of log books and interviews with staff, WNMCF is compliant with all components of Standard 115.13.

Standard 115.14 - Youthful inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

WNMCF does not house youthful inmates and therefore, Standard 115.14 in non-applicable.

Standard 115.15 - Limits to cross-gender viewing and searches

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-130300, training lesson plan for Search Procedures, PREA powerpoint used for staff training, interviews with staff and observations when doing the tour, WNMCF is compliant with all components of Standard 115.15.

Standard 115.16 - Inmates with disabilities and inmates who are limited English proficient

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy 150.100, PREA Orientation Video, PREA Pamphlet, WNMCF Inmate Handbook, postings throughout the institution on PREA reporting, Vendor Contracts with Interpreter Services, List of certified staff

interpreters, interviews with inmates and utilization of an interpreter during and inmate interview, WNMCF is compliant with all components of standard 115.16.

***Note* WNMCF exceeds this standard. There are postings for inmates to read in both English and Spanish in every building that the public and inmates have access to that explain what PREA is, how to report concerns and the investigation process. The inmate orientation provides a PREA video, PREA Pamphlet, and an inmate handbook that also has PREA information. Interviews with the inmates indicate they are not only receiving the information, but they are also understanding it.**

Standard 115.17 - Hiring and promotion decisions

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-037400, background check requests, release of information forms, and interview with human resource staff, WNMCF is compliant with all components of Standard 115.17.

Standard 115.18 - Upgrades to facilities and technologies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

WNMCF has not had any updates to their facility in the past 12 months. Conversation with the Warden indicated that they may be getting some new cameras and if they do, a determination has already been made where to place them based on potential blind spots and trouble areas.

Standard 115.21 - Evidence protocol and forensic medical examinations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, Memo of Understanding with New Mexico Rape Crisis Centers, memo from the Office of Inspector General showing what topics the New Mexico State Patrol receive in their 22 week training and interviews with an investigator from Office of Professional Standards, facility investigator, and manager of SAFE/SANE examiners, WNMCF is compliant with all components of Standard 115.21.

Standard 115.22 - Policies to ensure referrals of allegations for investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, PREA for Staff Training Acknowledgement form, Pre-Service Training Schedule, In-Service Training Schedule, interview of Facility Training Staff, WNMCF is in compliance with all componets of Standard 115.22.

Standard 115.31 - Employee training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, Staff Training Acknowledgement form, Pre-Service Training Schedule, In-Service Training Schedule, interview of Facility

Staff, and review of training records, WNMCF is in compliance with all componets of Standard 115.31.

Standard 115.32 - Volunteer and contractor training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Volunteers and Contractors, Training Lesson Plan: PREA for Volunteers and Contractors, PREA for Volunteers and Contractors Training Acknowledgement form, interview of Facility Contractors, and review of training records, WNMCF is in compliance with all componets of Standard 115.32.

Standard 115.33 - Inmate education

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, 2014 PREA Video, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, Inmate Orientation schedule, Inmate Orientation Acknowledgement form, PREA Posters, Inmate Assessment Tool (S.R.N.S. I & II), facility tour, and inmate interviews, WNMCF is in compliance with all componets of Standard 115.33.

Standard 115.34 - Specialized training: Investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Investigating Sexual Assaults in a correctional setting, Power Point: Investigating Sexual Assaults in a

correctional setting, Investigator training certificates, Letter from the NM Department of Public Safety, Interview with Warden and , Interview with investigators, WNMCF is in compliance with all componets of Standard 115.34.

Standard 115.35 - Specialized training: Medical and mental health care

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Power Point: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Medical and Mental Health training certificates, interview of Statewide SAFE/SANE Program Manager, WNMCF is in compliance with all componets of Standard 115.35.

Standard 115.41 - Screening for risk of victimization and abusiveness

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Inmate Assessment Test (S.R.N.S. I & II), PREA Screening Tool Users Guide, S.R.N.S. Assessment Tool: 72 hour and 30 day re-evaluation, and observation of intake process, WNMCF is in compliance with all componets of Standard 115.41.

***Note* WNMCF exceeds this standard. The facility classification staff complete the required assessments as outlined in the standard. The facility classification staff additionally review inmates PREA status every 90 days with their scheduled reviews. This ensures every offender is reviewed at a minimum of 4 times a year.**

Standard 115.42 - Use of screening information

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-143001, CD-080100, Memorandum from the facility Warden, PREA Screening Tool Users Guide, and interviews with staff, WNMCF is in compliance with all componets of Standard 115.42.

Standard 115.43 - Protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-143001, Memorandum from the Facility Warden stating that no inmates have been place in Alternate Housing involuntarily for PREA inssues, and interview with the restricted housing supervisor, WNMCF is in compliance with all componets of Standard 115.43.

Standard 115.51 - Inmate reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum from the facility Warden, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD Web site page, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, and interviews with the inmates, WNMCF is in compliance with all componets of Standard 115.51.

Standard 115.52 - Exhaustion of administrative remedies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150500, Memorandum from the facility Warden, Memorandum from the Facility PREA Compliance Manager, Memorandum from Facility Grievance Coordinator, and NMCD PREA Pamphlet (English/Spanish), WNMCF is in compliance with all componets of Standard 115.52.

Standard 115.53 - Inmate access to outside confidential support services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150300, Memorandum from the facility Warden, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), postings throughout the facility and inteviews with the inmates, WNMCF is in compliance with all componets of Standard 115.53.

Standard 115.54 - Third-party reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Memorandum from the facility Warden, Memorandum from the Statewide PREA Compliance Manager, Memorandum from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), NMCD Web site page, PREA Posters, and PREA

information sheet, WNMCF is in compliance with all componets of Standard 115.54.

Standard 115.61 - Staff and agency reporting duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, CD-150100, PREA Officer Training Materials, PREA Acknowledgement forms, PREA Information sheet, and interviews with staff, WNMCF is in compliance with all componets of Standard 115.61.

Standard 115.62 - Agency protection duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Letter sent to Warden identifying possible PREA actions, Memorandum from the facility Warden, Memorandum from the Facility PREA Compliance Manager, Training Materials from Staff PREA presentation, WNMCF is in compliance with all componets of Standard 115.62.

Standard 115.63 - Reporting to other confinement facilities

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, CD-150100, Memorandum from the Statewide PREA Compliance Manager, Referrals for Investigation from the Office of Professional Standards (OPS), E-mails between facility wardens, and interview with Statewide PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.63.

***Note* The auditors were mailed a letter in which the inmate complains that he made a PREA allegation at another facility and was never told the out come of the investigation. After an interview with the offender, the Auditor met with the Statewide PREA Compliance Manager to ascertain the outcome of the allegation reported. The PREA Compliance Manager and Warden reported that the incident was investigated and found to not meet the criteria of a PREA incident. The PREA Compliance Manager identified the incident as occuring prior to her assignment as the Statewide PREA Compliance Manager. Additionally, the PREA Compliance Manager met with the identified inmate informally and reported the findings of the investigation verbally. Also, the inmate will be notified formally in writing from the Office of the PREA Compliance Manager.**

Standard 115.64 - Staff first responder duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, and interview with Facility PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.64.

***Note* WNMCF exceeds this standard. Based on interviews of 21 staff within the facility, all staff provided an excellent understanding of requirements of being a first responder for a reported PREA abuse incident. Almost everyone had in their possession the facility's PREA First Responders Card that outline requirements of a first responder for a PREA incident.**

Standard 115.65 - Coordinated response

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, and interview with Facility PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.65.

Standard 115.66 - Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of pgs 108/109 of contract between the State of New Mexico and American Federation of State, County, and Municipal Employees (AFSCME Union) Council 18, interview with Statewide PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.66.

Standard 115.67 - Agency protection against retaliation

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD- 031800, Memorandum from the Facility PREA Compliance Manager, Special Management Sign off sheet, interview with Facility PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.67.

Standard 115.68 - Post-allegation protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-143000, Memorandum from the Facility PREA Compliance Manager, Special Management Sign off sheet, interview with Facility PREA Compliance Manager and Warden, WNMCF is in compliance with all componets of Standard 115.68 (during this audit period no inmates were placed in the Restrictive Housing Unit for PREA protective custody).

Standard 115.71 - Criminal and administrative agency investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of CD-013800, CD-031801, CD-150101, Training Material: Investigating Sexual Assaults in a Correctional Setting, Training Certificates, Letter from the Department of Safety, completed investigation reports, WNMCF incident report # 3463, and interviews with local and OPS investigators, WNMCF is in compliance with all componets of Standard 115.71.

Standard 115.72 - Evidentiary standard for administrative investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of NMCD PREA Investigator Training, completed investigation reports and interview with Facility investigative staff, WNMCF is in compliance with all componets of Standard 115.72.

Standard 115.73 - Reporting to inmates

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-150101, CD-150102, Memorandum from PREA Compliance manager, Interview with identified offenders, interview with PREA Compliance Manager, and investigative staff, and review of letter sent to an inmate with results of an investigation, WNMCF is in compliance with all componets of Standard 115.73.

Standard 115.76 - Disciplinary sanctions for staff

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-037800, CD-032200, CD-031800, Memorandum from Human Resources Manager, Interview with Human Resources Manager, and Warden, WNMCF is in compliance with all componets of Standard 115.76.

Standard 115.77 - Corrective action for contractors and volunteers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-031801, CD-060200, CD-060201, Memorandum from Local PREA Manager (Volunteers) and interview with Warden, WNMCF is in compliance with all componets of Standard 115.77.

Standard 115.78 - Disciplinary sanctions for inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

- Does not meet Standard (requires corrective action)

Based on review of Policy CD-090100, CD-090101, CD-060200, CD-150100, Memorandum from Local PREA Manager (Disciplinary Process for Inmates), review of infraction categories and Misconduct forms for Sexual Misconduct, WNMCF is in compliance with all componets of Standard 115.78.

Standard 115.81 - Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-180200, CD-150100, Offender Assessment Test; S.R.N.S 1&2, PREA Screening Tool User Manual, and interviewed staff responsible for risk screening including classification/medical/mental health staff, WNMCF is in compliance with all componets of Standard 115.81.

Standard 115.82 - Access to emergency medical and mental health services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-176100, CD-150102, and interviews with medical and mental health staff, WNMCF is in compliance with all componets of Standard 115.82.

Standard 115.83 - Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, CD-170101 and CD-180100, and interview with medical/mental health staff and the PREA Coordinator, WNMCF is in compliance with all componets of Standard 115.83

Standard 115.86 - Sexual abuse incident reviews

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based review of Policy CD-150102, Administration/Investigation, review of completed PREA investigative reportsa and interview with Warden and PREA Compliance Manager, WNMCF is compliant with all componets of Standard 118.86.

Standard 115.87 - Data collection

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of the Survey of Sexual Violence and NMCD'S Annual Assessment of Progress in Addressing Sexual Abuse, WNMCF/NMCD is compliant with all componets of standard 115.87

Standard 115.88 - Data review for corrective action

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on interview with PREA Compliance Manager and Warden, review of current years sexual abuse data, WNMCF is compliant with all componets of standard 115.88.

Standard 115.89 - Data storage, publication, and destruction

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on PREA Compliance Manager interview, review of Policy CD-150101 and Annual Assessment of NMCD progress in addressing sexual abuse, WNMCF is compliant with all componets of standard 115.89.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Michael Klemke
Auditor Signature

5-15-15
Date